

Milestones in promoting the development of Green Finance 积极参与推动绿色金融发展

Highlights of the Green Finance Certification Scheme Launching Ceremony cum Seminar

「绿色金融认证计划」开展仪式暨研讨会花絮



Group photo of the officiating guests, special guests, speakers, Governing Council members of HKQAA, Green Finance Certification Scheme Technical Committee members, and representatives of the organisations that have participated and planned to participate in the pilot programme, including Airport Authority Hong Kong, Modern Land (China) Co., Limited, MTR Corporation Limited, New World Development Company Limited, Swire Properties Limited and The Hong Kong and China Gas Company Limited.

主礼嘉宾、特别嘉宾、演讲嘉宾、香港品质保证局董事局成员、「绿色金融认证计划」技术委员会成员、参与及计划参与先导计划的机构，包括香港机场管理局、当代置业（中国）有限公司、香港铁路有限公司、新世界发展有限公司、太古地产有限公司及香港中华煤气有限公司合照。

Mr James Henry Lau Jr, JP, Secretary for Financial Services and the Treasury (Left) and Mr Wong Kam-sing, GBS, JP, Secretary for the Environment (Right) delivered remarks in the ceremony.

财经事务及库务局局长刘怡翔先生，太平绅士（左图）及环境局局长黄锦星先生，GBS，太平绅士（右图）于会上致辞。



The ceremony gathered numerous experts and practitioners from the government departments, financial, business, academic and environment sectors. 是次活动吸引了众多政府、金融界、商界、学术界名人及环保专家聚首一堂，场面热闹。

Event Supporting Organisations 活动支持机构：(Organisations are listed in no particular order 排名不分先后)



YEAR OF 2018
2018年

March 三月

February 二月



Dr Ma Jun, Director General of the Green Finance Committee of the China Society for Finance and Banking (Right), visited HKQAA and met Dr Michael Lam, Chief Executive Officer of HKQAA (Left), to discuss the development of green finance in the Greater China Region.

中国金融学会绿色金融专业委员会主任马骏博士（右）到访本局，与本局总裁林宝兴博士（左）交流大中华地区绿色金融的发展。

April 四月



Signed MOU with the Authority of Qianhai to jointly promote green finance and green projects.

与前海管理局签署《深港绿色金融合作备忘录》，共同推动深港绿色金融和产业的发展。

Held the HKQAA Symposium 2018 and invited management representatives from Hang Seng Indexes Company Limited, International Capital Market Association, Financial Services Development Council, Credit Agricole Corporate and Investment Bank and City University of Hong Kong to discuss the development of a sustainable capital market.

举行「香港品质保证局专题研讨会2018」，并邀请来自恒生指数有限公司、国际资本市场协会、香港金融发展局、东方汇理银行，以及香港城市大学等管理代表，讨论如何缔造可持续发展的资本市场。



May 五月



Participated in the 2nd "Belt and Road" High-end Forum on Quality in Xian and introduced how to support the development of green finance industry standards in Hong Kong. 参与在西安举行的第二届「一带一路」质量高峰论坛，并分享如何支援香港发展绿色金融产业标准。

June 六月



本局副局长何志诚工程师（右一）参与「2018绿色及社会责任债券原则年度会员大会及会议」讨论环节。



Published a research report on "Sustainable Financing in China's Greater Bay Area - Opportunities for Growth", with the support of the HSBC.

在汇丰的支持下，发表了《中国大湾区可持续发展融资—发展机遇》研究报告。

Signed MOU with CECEP (Hong Kong) Investment Co., Ltd. to initiate strategic cooperation in green finance certification, green rating development, training, and Environmental, Social and Governance (ESG). 与中国节能环保（香港）投资有限公司签署合作备忘录，启动双方在绿色金融认证、绿色评级发展、培训，以及环境、社会及企业管治框架四大领域的战略性合作。

July 七月

Signed MOU with Bureau of Financial Affairs, Huadu, Guangzhou to expand and strengthen the cooperation in green finance development.

与广州花都区金融工作局签署合作备忘录，拓展及加强在绿色金融发展方面的合作。

Signed MOU with Shanghai Zhixin Low-Carbon Technology Research Center to support corporates capitalising on opportunities in the national and market development of green finance.

与上海置信低碳技术研究中心签署合作备忘录，支持企业把握绿色金融发展机遇。

Green Finance Certification Scheme 香港品质保证局 绿色金融认证计划

Enhancing Credibility and Stakeholder Confidence in Green Finance
提升绿色金融公信力及持分者信心



Ample Experience in Fostering Sustainable Economy

In recent years, sustainability has become one of the key forces driving organisations towards a prolonged success. To support and encourage them to walk the talk, HKQAA has developed diverse services and obtained ample experience in the field of social responsibility, environmental protection, sustainability and responsible investment:

With the initial support of **The Hongkong and Shanghai Banking Corporation Limited (HSBC)**, HKQAA introduced the CSR Index to provide quantitative metrics to measure organisations' maturity level in practicing their social responsibilities in 2008;

HKQAA has been **the only Hong Kong organisation accredited as the Designated Operational Entity (DOE)** by the Executive Board of the Clean Development Mechanism (CDM) under the *United Nations Framework Convention on Climate Change* (UNFCCC) to deliver CDM validation and verification services since 2011;

HKQAA has been providing assessment and rating services on companies' sustainability performance for the **Hang Seng Corporate Sustainability Index Series** since 2014;

Since 2017, our expert has been nominated by the **Innovation and Technology Commission of the HKSAR Government** and the **China National Institute of Standardization** respectively to directly take part in the technical committee of ISO/TC 207/SC 4 and the working group of **ISO/TC 207/SC 4/ WG 7** to develop **ISO 14030 Green bonds – Environmental performance of nominated projects and assets**;

Since 2018, HKQAA has been admitted as an **Observer of the Green Bond Principles (GBP) under the International Capital Market Association (ICMA)**, taking part in the development of international standards on Green Finance;

Since 2018, HKQAA has become an **approved verifier under the Climate Bonds Standard**.

In Line with Government Policies to Promote Green Finance

Green Finance is a strategic tool for promoting the economic development and regional cooperation in the Guangdong-Hong Kong-Macao Big Bay Area and along the Belt and Road. In keeping with the development of the national Green Finance policy and being supported by the Hong Kong SAR Government, HKQAA has taken the lead to develop the **Green Finance Certification Scheme** to provide third-party conformity assessments for Green Finance issuers since 2016.

丰富经验与知识 促进可持续经济

近年，商界已视可持续发展为取得长期成功的主要动力。为协助及鼓励企业付诸实践，本局在推动社会责任、环境保护、可持续发展及责任投资等领域，开发多元化服务，并累积了丰富的经验和知识：

于2008年在香港上海汇丰银行有限公司的初始支持下，研发**社会责任指数**，提供一个量化的表现指标来衡量企业在实践社会责任的成熟程度；

于2011年获《联合国气候变化框架公约》(United Nations Framework Convention on Climate Change, UNFCCC)下的清洁发展机制(Clean Development Mechanism, CDM)执行理事会认可，成为**香港唯一提供CDM审定及核查服务的指定经营实体**(Designated Operational Entity, DOE)；

自2014年起，为「**恒生可持续发展企业指数系列**」就公司的可持续发展表现提供评级服务；

自2017年起，本局专家分别获**香港特区政府创新科技署**及**中国标准研究院**提名加入ISO/TC 207/SC 4技术委员会及其工作小组(ISO/TC 207/SC4/WG 7)，直接参与制定《**ISO 14030 绿色债券 — 指定项目及资产的环境表现**》；

自2018年起，成为**国际资本市场协会**(International Capital Market Association, ICMA)《**绿色债券原则**》(Green Bond Principles, GBP)的观察员；

自2018年起，成为**气候债券标准**(Climate Bonds Standard)委员会授权的**核查机构**。

配合政府政策 推动绿色金融

绿色金融是国家推动粤港澳大湾区和「一带一路」经济发展和区域合作的重要策略工具。为了配合国家绿色金融政策的发展，在得到香港特区政府的推动和支持下，本局于2016年开展「**绿色金融认证计划**」的研发工作，为绿色金融发行者提供第三方认证服务。

This scheme aims at helping the financial sector to explore new commercial and business opportunities in the Green Finance market, promoting a common understanding of Green Finance, and fostering environmentally-friendly investments in Hong Kong, the Mainland and worldwide. Our ultimate goal is to facilitate the development of green finance and green industry, thereby paving the way to a greener and more sustainable future.

Reference to International Standards

HKQAA has developed the Scheme with reference to a number of widely recognised international and national standards on Green Finance, including:

- Clean Development Mechanism under the United Nations Framework Convention on Climate Change;
- Green Bond Principles of the International Capital Market Association;
- The People's Bank of China Announcement No. 39 and its Annex – Green Bond Endorsed Project Catalogue; and
- ISO 26000:2010 Guidance on Social Responsibility, among others.

Benefits

- Enhances the credibility of, and stakeholder confidence in, green financial instruments via independent, impartial third-party conformity assessments;
- Reaches out to potential Green Finance investors with the aid of the Green Finance Certificate and Certification Mark;
- Demonstrates issuers' efforts to promote environmentally friendly investment; and
- Promotes a common understanding of Green Finance.

Types of Certification

Two types of certificate: the Green Finance of Pre-issuance Stage Certificate and the Green Finance of Post-issuance Stage Certificate.

Pre-issuance Stage Certificate
<ul style="list-style-type: none">For issuers seeking certification before issuing Green Finance productsAs-at certificateRequires validation of the adequacy of the Environmental Method Statement in producing positive environmental effects
Post-issuance Stage Certificate
<ul style="list-style-type: none">For issuers seeking certification after issuing Green Finance productsRemains valid until the debt instrument's maturity dateRequires verification of the continuous implementation and effectiveness of the Environmental Method Statement regarding the proposed positive environmental effects

此认证计划旨在协助金融界发掘绿色金融市场新机遇，推广绿色金融的理念，以及在香港、内地及全球推动环境友善投资，促进绿色金融及产业的发展，创造可持续的未来。

参考国际标准 制定认证计划

本局在制定绿色金融认证计划的过程中，参考了多个国际及国家标准，包括：

- 《联合国气候变化框架公约》的清洁发展机制；
- 国际资本市场协会的《绿色债券原则》；
- 中国人民银行公告〔2015〕第39号及其附件《绿色债券支持项目目录》；
- ISO 26000：2010 社会责任指南等。

好处

- 透过独立公正的第三方认证服务，提升绿色金融的公信力及持分者信心；
- 透过绿色金融证书及认证标志，协助发行者吸引更多潜在的绿色金融投资者；
- 展示发行者推动绿色投资的决心；
- 推广绿色金融的理念。

认证种类

此计划提供两种认证 — 绿色金融发行前证书及绿色金融发行后证书，详情如下：

绿色金融发行前证书
<ul style="list-style-type: none">适用于发行绿色金融前申请有效期为认证当日审定申请者提出的环境方法声明的充分性，判断绿色项目能否带来正面的环境影响
绿色金融发行后证书
<ul style="list-style-type: none">适用于发行绿色金融后申请有效期至债务工具的到期日根据申请者提出的环境方法声明，每年核查实施绿色项目的进度和有效性



Contact HKQAA 联络香港品质保证局

Website 网址：http://www.hkqaa.org

Hong Kong 香港

Tel 电话：(852) 2202 9111
Fax 传真：(852) 2202 9222
E-mail 电邮：
hkqaa@hkqaa.org

Shanghai 上海

Tel 电话：(86 21) 6876 9911
Fax 传真：(86 21) 6876 9922
E-mail 电邮：
info.sh@hkqaa.org

Guangzhou 广州

Tel 电话：(86 20) 8383 3777
Fax 传真：(86 20) 8382 3066
E-mail 电邮：
info.gz@hkqaa.org

Xian 西安

Tel 电话：(86 29) 8636 0030
Fax 传真：(86 29) 8636 0031
E-mail 电邮：
info.xn@hkqaa.org

Macau 澳门

Tel 电话：(853) 2875 1199
Fax 传真：(853) 2875 1609
E-mail 电邮：
info.mo@hkqaa.org